



0460 04/13/01

Section #3

PATENT  
85CF-00114

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicant: Messmer et al.

Serial Number: 09/737,629

Filed: December 15, 2000

For: METHODS AND SYSTEMS FOR  
FINDING VALUE AND REDUCING RISK:

Group Art Unit: 2164

Examiner:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231, on APRIL 02, 2001.

  
Robert E. Slenker, Reg. No. 45,112

RESPONSE TO NOTICE TO FILE MISSING PARTS

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

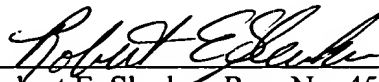
In response to the Notice to File Missing Parts of Nonprovisional Application dated February 2, 2001 (copy enclosed), enclosed are fourteen (14) sheets of formal drawings. Also enclosed is the Part 2 - copy to be returned with response of the Notice to File Missing Parts of Application.

A copy of a Preliminary Amendment and Request For Approval Of Drawing Changes, filed April 02, 2001, are enclosed herewith. The Preliminary Amendment corrects an original, informal drawing submitted with the patent application which was marked as "Figure 9". The correct marking for the drawing, as described in the Preliminary Amendment is "FIG. 14". The formal drawings submitted herein incorporate the change of the Preliminary Amendment.

A signed inventor's declaration, including any necessary extension fees, will be submitted at a later date to complete Applicant's Response to the Missing Parts notification of February 02, 2001.

If any additional fee is due, please charge Deposit Account No. 01-2384. A duplicate copy of this letter is enclosed.

Respectfully submitted,



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Robert E. Slenker, Reg. No. 45,112  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070



#59-

85CF-00114  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Messmer, et al.

Serial No.: 09/737,629

Filed: December 15, 2000

For: METHODS AND SYSTEMS FOR  
FINDING VALUE AND REDUCING RISK

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: Art Unit: 2164  
:  
: Examiner:  
:  
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CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on APR 02, 2001.

  
Robert E. Slenker  
Reg. No. 45,112

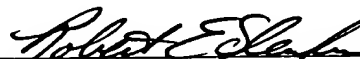
**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Submitted herewith is a copy of drawing sheet 9 in the above referenced patent application showing proposed drawing changes. Specifically, the legend "Figure 9" has been replaced with the legend "FIG. 14". Also submitted herewith is a substitute drawing for Figure 14 incorporating the above-noted change.

Applicants respectfully request approval of the indicated drawing changes.

Respectfully Submitted,

  
Robert E. Slenker, Registration No. 45,112  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070



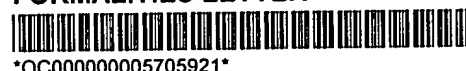
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/737,629	12/15/2000	Richard P. Messmer	85CF-00114

CONFIRMATION NO. 7892

## FORMALITIES LETTER



\*OC00000005705921\*

John S. Beulick  
Armstrong Teasdale LLP  
Suite 2600  
One Metropolitan Sq.  
St. Louis, MO 63102

Date Mailed: 02/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2001 SHINASS1 00000010 012384 09737629 FILED UNDER 37 CFR 1.53(b)  
01 708105 130.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been omitted from the application:

- Figure(s) 14 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



04-09-01

03C0  
#3

PATENT  
17996-2

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: **EL817584886US**

Date of Mailing: **April 6, 2001**

I certify that the attached **Preliminary Amendment of Charles Kurt Artinger for METHODS AND SYSTEM FOR SELECTING ITEMS TO REPLACE INSURED ITEMS** including:

- Preliminary Amendment (3 pgs.)
- Amendment Transmittal (3 pgs., in duplicate)
- Request for Approval of Drawing Changes (2 pgs.)
- Petition for Acceptance of Application as Originally Filed (2 pgs.)
- Eight (8) sheets of formal drawings
- Part B – Copy to be returned with Notice
- Certificate of Mailing via Express Mail (1 page)
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Robert B. Reeser III, Reg. No. 45,548  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
314/621-5070



PATENT  
Attorney Dkt. No. 17996-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Artinger :  
Serial No.: 09/737,629 : Art Unit:  
Filed: December 8, 2000 : Examiner:  
For: METHODS AND SYSTEM FOR :  
SELECTING ITEMS TO :  
REPLACE INSURED ITEMS :

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is
- ☒ a small entity. A verified statement:  
is attached.  
☒ was already filed.  
☐ other than a small entity.

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

deposited with the United States Postal Service with  
sufficient postage as first class mail, in an envelope  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231

FACSIMILE

transmitted by facsimile to the Patent and  
Trademark Office

Date: \_\_\_\_\_

Patrick W. Rasche, Reg. No. 37,916

### EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a)    Applicant petitions for an extension of time under 37 C.F.R. 1.136

(Fees: 37 C.F.R. 1.17(a)(1-5) for the total number of months checked below:)

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<u>  </u> one month	\$ 110.00	\$ 55.00
<u>  </u> two months	\$ 390.00	\$ 195.00
<u>  </u> three months	\$ 890.00	\$ 445.00
<u>  </u> four months	\$ 1,390.00	\$ 695.00

Fee: \$           

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

   An extension of            months has already been secured. The fee paid therefor of \$            is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$           .

OR

(b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)

(Col. 2)

(Col. 3)

SMALL ENTITY

OTHER THAN A  
SMALL ENTITY

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	OR	ADDIT. RATE FEE
TOTAL	19	MINUS	20*	=0	x \$9 = \$		x \$18 = \$
INDEP.	2	MINUS	*3	=	x \$40 = \$		x \$80 = \$
___ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 = \$		+ \$260 = \$
					TOTAL ADDIT. FEE \$	OR	TOTAL ADDIT. FEE \$0.00

(c) ☒ No additional fee for Claims is required.

**OR**

(d) \_\_\_ Total additional fee for claims required \$

## FEE PAYMENT

5. \_\_\_ Attached is a check in the sum of \$\_\_\_\_\_.

\_\_\_ Charge Deposit Account No. 01-2384 the sum of \$\_\_\_\_\_.

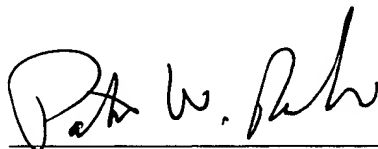
A duplicate of this transmittal is attached.

## FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

**AND/OR**

☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.



Patrick W. Rasche, Reg. No. 37,916  
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314/621-5070